

PTO-1390 (Rev. 07-2005)
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ATTORNEY'S DOCKET NUMBER

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

H0004872-2930 U.S. APPLICATION NO. (If known, see 37 CER 1.5)

| CC | NCERNING A SUBMISSION | ON UNDER 35 U.S.C. 371 | 10/575909 | | | | | |
|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|--|--|--|--|--|
| INTERNA PCT/EP0 | TIONAL APPLICATION NO. 3/11599 | INTERNATIONAL FILING DATE 10/20/2003 | PRIORITY DATE CLAIMED 10/20/2003 | | | | | |
| TITLE OF | INVENTION - ADJUSTABLE VALVE, IN | PARTICULAR A RECIRCULATION VALVE I | FOR A TURBOCHARGER | | | | | |
| APPLICA | NT(S) FOR DO/EO/US | | | | | | | |
| Applican | t herewith submits to the United State | s Designated/Elected Office (DO/EO/US | 6) the following items and other information: | | | | | |
| 1. 🗵 | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. 🗵. | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. ⊠. | The US has been elected (Article 31). | | | | | | | |
| | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| 5. 🗵. | a. Is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| 6. ⊠. | _ | | | | | | | |
| | a. 🗵 is attached hereto. (Origina | lly filed in English) | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. 🗵 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| | d. have not been made and wi | Il not be made. | | | | | | |
| 8. 🗆 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. 🗵 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. □. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| | Items 11 to 20 below concern documer | nt(s) or information included: | | | | | | |
| 11. | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. 区. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🗆 | A preliminary amendment. | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. 🗖. | A substitute specification. | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. 🗵 | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICA | | aperwork Reduction A n, see 37 CFR 1.5) | ct of 1995, no persons are required INTERNATIONAL APPL PCT/IB03/0017 | ICATION NO. | f information unless it display ATTORNEY'S DOCKE H0004750 | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------|-----------------------------------------------------------------|--------------|--|
| 20. Other items or information: Postage paid return post card. | | | | | | | |
| | | | | | | | |
| The follo | owing fees have b | een submitted | | | CALCULATIONS | PTO USE ONLY | |
| 21. 🖂 Basic national fee (37 CFR 1.492(a)) \$300 | | | | \$300.00 | | | |
| | xamination fee (37 | | | | | | |
| | on prepared by IS/ JS indicates claim | \$200.00 | | | | | |
| Search fee (37 CFR International International Search | of the ISA/US or the indicates all claims (1.445(a)(2)) has be I Searching Authority Report prepared by ommunicated to the U | \$100.00 | | | | | |
| | TOTAL OF 21, | 22 and 23 = | | | \$ | | |
| electronic | for specification an listings in complia medium) (37 CFR \$250 for each add | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | | | |
| 21- 100 = | /50 = | | | × \$250 | \$ | | |
| Surcharge of \$130 after the date of con | | \$ | | | | | |
| CLAIMS | NUME | BER FILED | NUMBER EXTRA | RATE | \$ | - | |
| Total claims | | 3- 20 = | | × \$ 50 | \$ | | |
| Independent claim | ıs | 1-3= | | x \$200 | \$ | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | \$ | | | |
| | | \$ | | | | | |
| □ Applicant claim | s small entity statu | | | | | | |
| | | | | SUBTOTAL = | \$600.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (i)) + | | | | | \$ | | |
| | | \$600.00 | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$40.00 | | |
| | | \$640.00 | | | | | |
| | | | | | Amount to be charged | \$640.00 | |
| | | | | | Amount to be refunded : | \$ | |

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| A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | | |
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| b. ☐ Please charge my Deposit Account No <u>01-1125</u> in the amount of A duplicate copy of this sheet is enclosed. | of \$ 640.00 to cover the above fees. | | | | | | | |
| ∑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this sheet is enclosed. | | | | | | | | |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Honeywell International Inc. Patent Service 101 Columbia Road Mail Stop AB/2B Morristown, NJ 07962 | SIGNATURE Deborah Chess NAME 44,611 REGISTRATION NUMBER | | | | | | | |